

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/400,724 09/21/99 OGRAM W 1475B.5A

0232/1025

MARK E OGRAM  
8040 S KOLB RD  
TUCSON AZ 85706

NOT ASSIGNED

2761

DATE MAILED:

10/25/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

MBlauch  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202



1475B.SA

Docket No. 1475B.5A

In Re Application of: Mark E. Ogram

For: A Financial System of Computers

Enclosed herewith are: JC408 U.S. PTO

09/100724



09/21/99

- 8 Sheets of Drawings
- Specification with Claims
- Application for U.S. Patent
- Declaration, Power of Attorney
- Verified Statement Claiming Small Entity
- Assignment (Including Form PTO-1595)
- Disclosure Statement w/ references
- Payment



SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of: Ogram

Serial #: 09/400,724  
Filed: 09/21/99  
For: A FINANCIAL SYSTEM OF COMPUTERS

Docket #: 1475B.5A  
Examiner:  
Group:

TRANSMITTAL IN RESPONSE TO  
NOTICE TO FILE MISSING PARTS

Honorable Commissioner of Patents and Trademarks  
Washington D.C. 20231

Sir:

Regarding the above identified patent application and responsive to the Notice to File Missing Parts dated 10/25/99, please note the enclosures and accompanying remarks.

Enclosures:

- (1) A copy of the Notice to File Missing Parts (10/25/99);
- (2) A copy of the stamped postcard which accompanied the original filing;
- (3) A copy of the original Oath dated September 20, 1999.

REMARKS

With regard to the above identified Notice to File Missing Parts, the U.S. Patent Office has stated that the Oath or Declaration is missing.

As proof of the filing of the Oath with the application, enclosed is a copy of the postcard which accompanied the filing. This postcard clearly states that the "Declaration, Power of Attorney" was enclosed and received by the U.S. Patent Office on 09/21/99.

Since it appears that the Declaration, Power of Attorney, as originally filed with the Patent Office, has been misplaced, a replacement document has been enclosed.

Within the Notice to File Missing Parts, a surcharge of \$130.00 is

requested. This surcharge is inappropriate since the originally filing, as shown by the postcard, was complete.

It is respectfully submitted that the application, was complete as originally filed.

Respectfully Submitted,



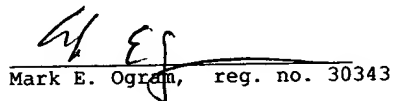
Mark E. Ogram  
Attorney at Law  
Reg. No. 30343

Date: NOV. 4, 1999

---

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on NOV 4, 1999.



Mark E. Ogram, reg. no. 30343

NOV 4, 1999  
Date